

# Cappitech Reconciliation



Are you delegating your EMIR Reporting?



Would you like to monitor submissions made by your counterparties?

## The Challenge

For many firms, Delegated Reporting provides a cost-effective process for complying with EMIR regulation as firms leverage their counterparts to submit on their behalf. However, delegation comes with known risks as you **can** delegate submissions of the report, but you **can't** delegate responsibility. So how do you know they are reporting correctly on your behalf?

## The Solution

Cappitech's Reconciliation Tool solves this challenge by providing the solution to monitoring submissions. Firms can upload source Books and Records of their Transactions and Trade State Reports of their EMIR submissions. The data is normalized and compared to provide Completeness and Data Quality checks to ensure all trades are being submitted and reported correctly.

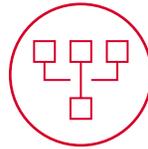
**Cappitech  
Reconciliation  
is the answer for  
Completeness  
and Data Quality  
checks of your  
EMIR submissions**



## How it Works



Source data of trades (books and records), static information and counterparty submissions (via trade state reports) are ingested to the system.



Datasets are compared to provide details on Matches, Field Breaks and Missing submissions.



Cappitech configures workspaces to normalize the datasets and apply necessary enrichments and validations.



Results are made available to review, comment on and download on the dashboard. AI-Powered insights provide useful information on reconciliation trends and breaks.



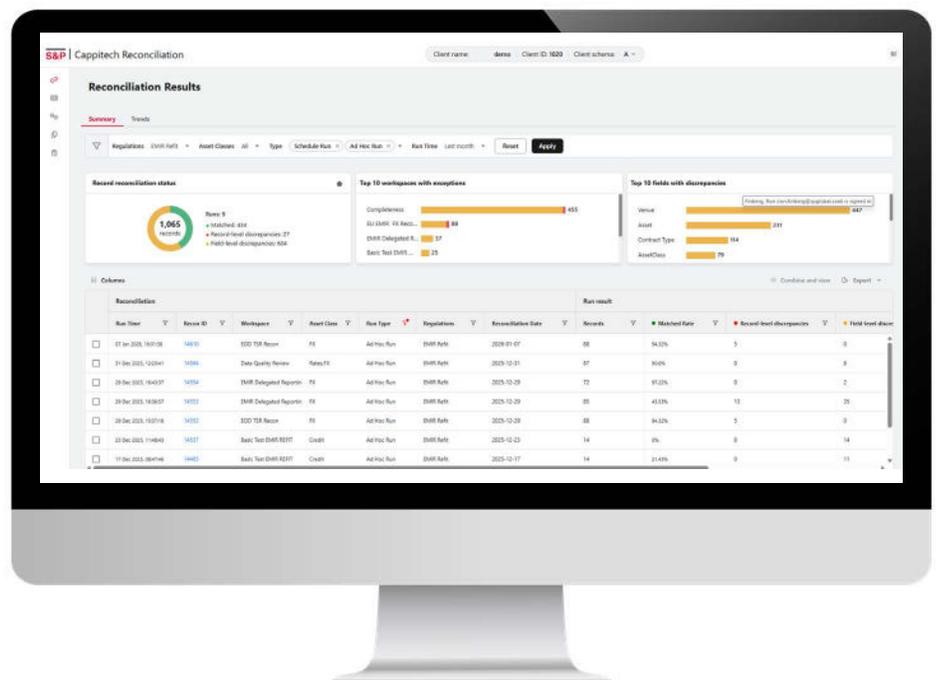
Multiple workspaces can be configured to run reconciliations per counterparty, asset class or focus on top-level completeness.



Users can manage breaks and missing submissions using the Exception Dashboard features.

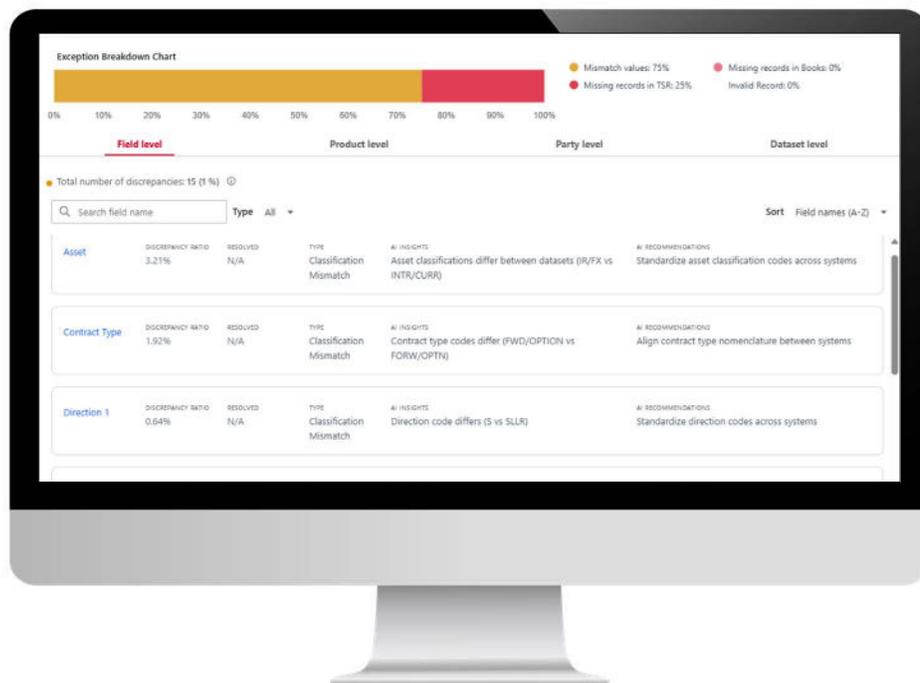
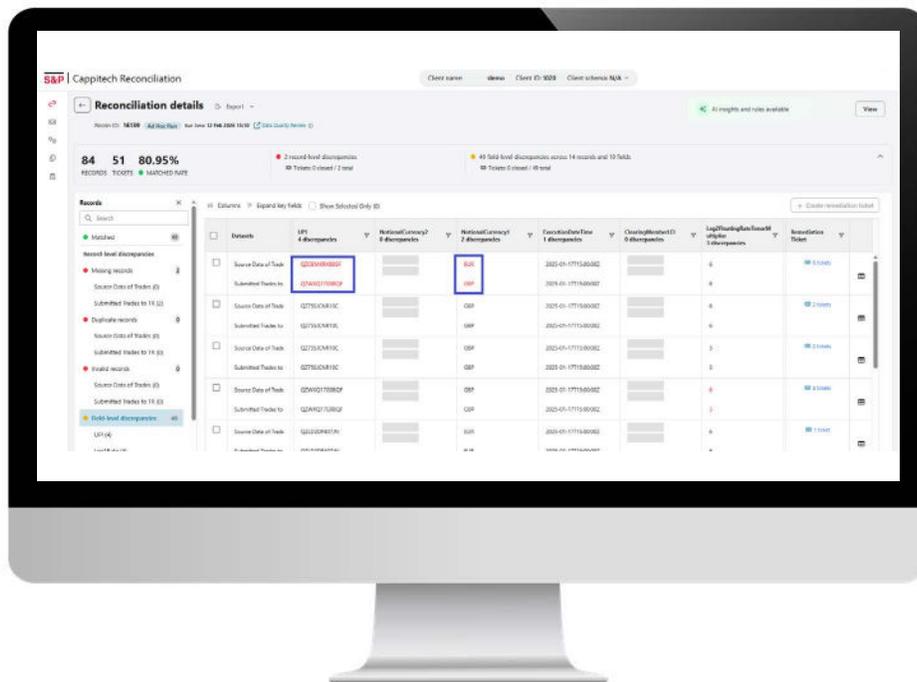
## Intuitive dashboard

Provides reconciliation metrics on matching rates, breaks and missing records across all and individual workspaces



## Detailed analysis

Provides for each reconciliation run including side-by-side values of reported data.



## AI Insights

Breakdown of key findings and recommendations

## Key Features



### AI-Powered Intelligence

**Intelligent Exception Classification** streamlines workflow management by leveraging advanced AI to accurately categorize exceptions.

**ML-Generated Discrepancy Summaries** automatically analyze and summarize exceptions, enabling faster resolution.

**Smart Issue Prioritization** utilizes AI-driven insights to identify and highlight high-priority exceptions.

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### Enterprise-Grade Accessibility

**No-Code Configuration** empowers users to configure and use the platform without technical expertise.

**User-Defined Workspaces** offers flexibility to customize and organize reconciliation scenarios.

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### Flexible Reconciliation Framework

**Customizable Scenarios** allow users to create new use cases to meet evolving business requirements.

**Template Cloning** accelerates setup by reusing existing configurations for similar reconciliation needs.

**Workspaces Segregation** maintains clarity and organization by separating distinct reconciliation scenarios into dedicated workspaces.



### Precision Control

**Configurable Rules & Tolerances** provide customized matching logic with adjustable thresholds.

**Fuzzy Matching Options** enhance accuracy by minimizing false positives using intelligent, flexible matching techniques.

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### Advanced Analytics

**Performance Metrics** track reconciliation efficiency and uncover opportunities for optimization.

**Interactive Dashboards** provide real-time visibility into reconciliation status and data quality.

**Trend Analysis** identifies recurring exception patterns to proactively prevent future issues.

# S&P Global

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